

PTO/SB/97 (12-97)

Approved for use through 9/30/00. OMB 0851-0031  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### Certificate of Transmission Under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on 9/25/03  
Date

**OFFICIAL**

*Diana M. Francis*  
Signature

Diana M. Francis  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

- Amendment in Response to Non-Final Office Action (37 pages);
- Three-month Extension of Time (1 page)
- Amendment Transmittal (1 page);
- Transmittal (1 page); and
- Certificate of Transmission under 37 CFR 1.8 (1 page).

Charge account 22-0185 \$1587.00

**RECEIVED  
CENTRAL FAX CENTER**

SEP 26 2003

24

# FAX TRANSMISSION

DATE:

PTO IDENTIFIER: Application Number 09/397,008-Conf. #8229  
Patent Number

Inventor: Lawrence M. Ausubel

MESSAGE TO: Bui, Thach H.

FAX NUMBER: 703-305-7687

FROM: CONNOLLY BOVE LODGE & HUTZ LLP

Stanley B. Green

PHONE: (202) 331-7111

Attorney Dkt. #: 21736-00012-US

# OFFICIAL

PAGES (Including Cover Sheet): 43

**CONTENTS:** Amendment in Response to Non-Final Office Action (37 pages);  
 Three-month Extension of Time (1 page)  
 Amendment Transmittal (1 page);  
 Transmittal (1 page); and  
 Certificate of Transmission under 37 CFR 1.8 (1 page).

Charge account 22-0185 \$1587.00

**RECEIVED**  
**CENTRAL FAX CENTER**

SEP 26 2003

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (202) 331-7111 and send the original transmission to us by return mail at the address below.

This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.

**CONNOLLY BOVE LODGE & HUTZ LLP**  
1990 M Street, N.W., Suite 800, Washington, DC 20036-3425  
Telephone: (202) 331-7111 Facsimile: (202) 293-6229

Approved for use through 04/30/2003. CMB 0651-0031  
 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence after Initial Filing)</i></p>	Application Number	09/397,008-Conf. #8229	
	Filing Date	September 15, 1999	
	First Named Inventor	Lawrence M. Ausubel	
	Art Unit	3628	
	Examiner Name	Bui, Thach H.	
Total Number of Pages in This Submission	1	Attorney Docket Number	21736-00012-US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
<div style="border: 1px solid black; padding: 2px; width: fit-content;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	CONNOLLY BOVE LODGE & HUTZ LLP Stanley B. Green - 24,351
Signature	<i>Stanley B. Green</i>
Date	September 25, 2003

#120 an  
10 203

Docket No.: 21736-00012-US  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Lawrence M. Ausubel

Application No.: 09/397,008

Art Unit: 3628

Filed: September 15, 1999

Examiner: Bui, Thach H.

For: ASCENDING BID AUCTION FOR MULTIPLE  
OBJECTS

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

MS Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

In response to the Office Action dated April 15, 2003, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 33 of this paper.

10/10/2003 WNEWTON 00000006 220185 09397008

01 FC:2253 465.00 DA